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U.S. Department of Commerce  
Patent and Trademark Office

**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket  
Number

U 0158 OS/OAPT

First Named  
Inventor

ZHANG, Yeyan

**COMPLETE IF KNOWN**

Application Number

10/629,266

Filing Date

07/29/2003

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**USE OF POX4 PROMOTER TO INCREASE GENE EXPRESSION IN *Candida tropicalis***

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/29/2003 as United States Application Number or PCT International

Application Number 10/629,266 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/401,212	08/05/2002	

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

**DECLARATION****Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number  or label   
OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach	32,891		
Steven J. Trzaska	36,296		
Aaron R. Ettelman	42,516		

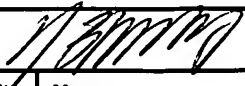
☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number ☐ or label **23657** OR ☒ Fill in correspondence address below

Name	John E. Drach				
Address					
Address					
City		State		Zip	
Country		Telephone	610-278-4925	Fax	610-278-4971

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:** ☐ A petition has been filed for this unsigned inventor

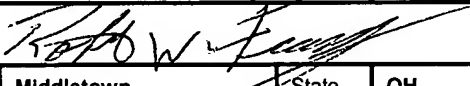
Given Name	<b>Yeyan</b>	Middle Initial		Family Name	<b>Zhang</b>	Suffix e.g. Jr.	
Inventor's Signature					Date	<b>Dec. 2, 2003</b>	
Residence: City	<b>Mason</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>Canada</b>
Post Office Address	<b>4056 Meadow Grove Lane</b>						
Post Office Address							
City	<b>Mason</b>	State	<b>OH</b>	Zip	<b>45040</b>	Country	<b>USA</b>
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

<b>DECLARATION</b>					<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>				
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>C. Ron</b>	Middle Initial		Family Name	<b>Wilson</b>	Suffix e.g. Jr.			
Inventor's Signature	<i>C. Ron Wilson</i>				Date	<b>12/3/03</b>			
Residence: City	<b>Loveland</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>6327 Belmont Road</b>								
Post Office Address									
City	<b>Loveland</b>	State	<b>OH</b>	Zip	<b>45140</b>	Country	<b>USA</b>	Applicant Authority	
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>David</b>	Middle Initial	<b>L.</b>	Family Name	<b>Craft</b>	Suffix e.g. Jr.			
Inventor's Signature					Date				
Residence: City	<b>Ft. Thomas</b>	State	<b>KY</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>26 Rosewood Lane</b>								
Post Office Address									
City	<b>Ft. Thomas</b>	State	<b>KY</b>	Zip	<b>41075</b>	Country	<b>USA</b>	Applicant Authority	
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>L. Dudley</b>	Middle Initial		Family Name	<b>Eirich</b>	Suffix e.g. Jr.			
Inventor's Signature					Date				
Residence: City	<b>Milford</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>227 W. Stoneridge</b>								
Post Office Address									
City	<b>Milford</b>	State	<b>OH</b>	Zip	<b>45150</b>	Country	<b>USA</b>	Applicant Authority	
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>Robert</b>	Middle Initial	<b>W.</b>	Family Name	<b>Fraye</b>	Suffix e.g. Jr.			
Inventor's Signature					Date				
Residence: City	<b>Middletown</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>6130 Shawna Court</b>								
Post Office Address									
City	<b>Middletown</b>	State	<b>OH</b>	Zip	<b>45044</b>	Country	<b>USA</b>	Applicant Authority	
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto									

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Given Name	<b>C. Ron</b>	Middle Initial		Family Name	<b>Wilson</b>	Suffix e.g. Jr.			
Inventor's Signature						Date			
Residence: City	<b>Loveland</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>6327 Belmont Road</b>								
Post Office Address									
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<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>David</b>	Middle Initial	<b>L.</b>	Family Name	<b>Craft</b>	Suffix e.g. Jr.			
Inventor's Signature	<i>David L. Craft</i>					Date	<b>2/2/04</b>		
Residence: City	<b>Ft. Thomas</b>	State	<b>KY</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>26 Rosewood Lane</b>								
Post Office Address									
City	<b>Ft. Thomas</b>	State	<b>KY</b>	Zip	<b>41075</b>	Country	<b>USA</b>	Applicant Authority	
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	<b>L. Dudley</b>	Middle Initial		Family Name	<b>Eirich</b>	Suffix e.g. Jr.			
Inventor's Signature						Date			
Residence: City	<b>Milford</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>227 W. Stoneridge</b>								
Post Office Address									
City	<b>Milford</b>	State	<b>OH</b>	Zip	<b>45150</b>	Country	<b>USA</b>	Applicant Authority	
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Given Name	<b>Robert</b>	Middle Initial	<b>W.</b>	Family Name	<b>Fraye</b>	Suffix e.g. Jr.			
Inventor's Signature						Date			
Residence: City	<b>Middletown</b>	State	<b>OH</b>	Country	<b>USA</b>	Citizenship	<b>USA</b>		
Post Office Address	<b>6130 Shawna Court</b>								
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City	<b>Middletown</b>	State	<b>OH</b>	Zip	<b>45044</b>	Country	<b>USA</b>	Applicant Authority	
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Inventor's Signature					Date				
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Post Office Address									
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Given Name	L. Dudley	Middle Initial		Family Name	Eirich	Suffix e.g. Jr.			
Inventor's Signature	<i>L. Dudley Eirich</i>				Date	12-3-03			
Residence: City	Milford	State	OH	Country	USA	Citizenship	USA		
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Post Office Address									
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Inventor's Signature					Date				
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Inventor's Signature							Date								
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<b>Name of Additional Joint Inventor, if any:</b>						<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name	<b>Robert</b>			Middle Initial	<b>W.</b>		Family Name	<b>Fraye</b>			Suffix e.g. Jr.				
Inventor's Signature							Date	<b>December 02, 2003</b>							
Residence: City	<b>Middletown</b>			State	<b>OH</b>		Country	<b>USA</b>			Citizenship	<b>USA</b>			
Post Office Address	<b>6130 Shawna Court</b>														
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